

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: A. M. Srivastava et al.

Group Art Unit:

Serial No.:

Examiner:

Filed:

For PHOSPHORS CONTAINING PHOSPHATE
AND/OR BORATE OF METALS OF GROUP IIIA,
GROUP IVA, AND LANTHANIDE SERIES, AND
LIGHT SOURCES INCORPORATING THE SAME

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit_____
Type or Print Name_____
Signature

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

January 27, 2004

Date

General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1
Schenectady, New York 12301
Customer Number: 006147

Respectfully submitted,

Toan P. Vo

Attorney - Toan P. Vo

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FORM PTO-1449
(REV. 7-80)
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

137073

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

LIST OF ITEMS

(Use several sheets if necessary)

Applicant

A. M. Srivastava et al.

Filing Date

Group

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4	4	2	3	3	4	9	12/27/83	Nakajima et al.			
	AB	4	5	0	7	5	6	0	03/26/85	Mathers et al.			
	AC	4	7	4	7	3	7	4	05/31/88	Stadler			
	AD	5	0	9	1	1	1	0	02/25/92	Fan et al.			
	AE	5	1	5	4	8	5	2	10/13/92	Chau			
	AF	5	1	5	6	7	6	4	10/20/92	Kaneda et al.			
	AG	5	4	2	2	0	4	0	06/06/95	Maofu et al.			
	AH		5	4	8	2	3	4	08/01/95	Fujino			
	AI	6	1	5	3	9	7	1	11/28/00	Shimizu et al.			
	AJ	6	2	2	4	2	4	0	05/01/01	Shimizu et al.			
	AK	6	2	4	2	8	5	7	06/05/01	Hasimoto et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	No
	AL	J	P	5	4	5	6	0	8		Japan				
	AM														
	AN														
	AO														
	AP														

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

	AR	
	AS	
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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

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	BA	6	4	1	9	8	5	2	07/16/02	Braconnier et al.			
	BB	4	1	1	2	1	9	4	09/05/78	Chenoi et al.			
	BC	6	5	9	7	1	0	4	07/22/03	Otsuka			
	BD												
	BE												
	BF												
	BG												
	BH												
	BI												
	BJ												
	BK												

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														YES	No
	BL														
	BM														
	BN														
	BO														
	BP														

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

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	BS	
	BT	

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